



PTO/SB/21 (01-09)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

20

Application Number

10/511,317

Filing Date

31 May 2005

First Named Inventor

SHAUNAK, Sunil

Art Unit

1614

Examiner Name

BAEK, BONG-SOOK

Attorney Docket Number

POLYT 9351 WO-US

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):Cover letter; Copies of References 10 and 11;
Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Bell & Associates

Signature

Printed name

Matthew Kaser

Date

3rd March 2009

Reg. No.

44,817

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Matthew Kaser

Date

3 March 2009

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : SHAUNAK, Sunil, et al.

Title: **GLYCODENDRIMERS HAVING BIOLOGICAL ACTIVITY**

Serial No.: 10/511,317

Filing Date: 15th October, 2004
371(c) Date: 31st May, 2005

Examiner: BAEK, Bong-Sook

Group Art Unit: 1614

Mail Stop Amendment
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT
TO 37 C.F.R. §1.97 and §1.98

Dear Sir:

Applicant herewith submits a supplemental Information Disclosure Statement (IDS) under the provisions of 37 CFR § 1. 97 (b)(1) and § 1.98 in the above-referenced utility patent application. Applicant herewith includes two (2) copies of publications cited in Form 1449 (Reference 10 and Reference 11).

Applicant believes that, since this IDS has been filed at the US PTO prior to a first Office action on the merits of the claimed invention, no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to debit the fee from **Bell & Associates Deposit Account No. 50-3194**.

Respectfully submitted,

Date: 3rd March, 2009

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By: 

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